

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. T0091/250093  
First Inventor Mark Kogen et al.  
Title Method and System for Certificate Delivery and Management  
Express Mail Label No. EV 315185030 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 87]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Sheets]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal of Application Under 37 CFR 1.41(c); check for \$750

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: \_\_\_\_ / \_\_\_\_

Prior application information: Examiner \_\_\_\_

Art Unit: \_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name George T. Marcou

Address Kilpatrick Stockton LLP  
607 14<sup>th</sup> Street, NW, Suite 900

City Washington State DC Zip Code 20005  
Country USA Telephone (202) 508-5800 Fax 202-508-5858

Name (Print/Type)

John M. Harrington

Registration No. (Attorney/Agent)

25,592

Signature

*John M. Harrington*

Date

Septembr 8, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

22386 U.S. PRO  
10/657655  
09/08/03

<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="font-size: small; margin: 5px 0;">Effective 01/01/2003. Patent fees are subject to annual revision.</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">Unassigned</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Mark Kogen et al.</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td>Art Unit</td> <td>To be assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>T0091/250093</td> </tr> </table>		Application Number	Unassigned	Filing Date	Herewith	First Named Inventor	Mark Kogen et al.	Examiner Name	To be assigned	Art Unit	To be assigned	Attorney Docket No.	T0091/250093
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<b>TOTAL AMOUNT OF PAYMENT</b> (\$) 750															

<p><b>METHOD OF PAYMENT (check all that apply)</b></p> <p> <input checked="" type="checkbox"/> Check             <input type="checkbox"/> Credit card             <input type="checkbox"/> Money Order             <input type="checkbox"/> Other             <input type="checkbox"/> None         </p> <p><input checked="" type="checkbox"/> Deposit Account:</p> <table style="width: 100%;"> <tr> <td style="width: 20%;">Deposit Account Number</td> <td>50-1458</td> </tr> <tr> <td>Deposit Account Name</td> <td>Kilpatrick Stockton LLP</td> </tr> </table> <p><b>The Director is authorized to: (check all that apply)</b></p> <p> <input type="checkbox"/> Charge fee(s) indicated below             <input checked="" type="checkbox"/> Credit any overpayments  <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application  <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.         </p>					Deposit Account Number	50-1458	Deposit Account Name	Kilpatrick Stockton LLP	<p><b>FEE CALCULATION (continued)</b></p> <p><b>3. 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\*\*or number previously paid, if greater; For Reissues, see above

<p><b>SUBMITTED BY</b></p>				<p><b>Complete (if applicable)</b></p>	
Name (Print/Type)	John M. Harrington	Registration No. Attorney/Agent	25,592	Telephone	(336) 607-7300
Signature				Date	September 8, 2003

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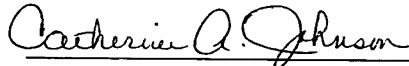
Date of Deposit: September 8, 2003

Type of Document(s): Utility Patent Application Transmittal;  
Fee Transmittal for FY 2003 (*in duplicate*);  
87 pages Specification, Claims and Abstract;  
Three (3) pages of drawings;  
Transmittal of Application Under 37 CFR  
1.41(c);  
Nonpublication Request Under 35 USC  
122(b)(2)(B)(i);  
Check in the amount of \$750.00; and  
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Serial No.: Unassigned

Date Filed: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Catherine A. Johnson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark KOGEN, Herve GARCIA, Fred PINN,  
Elton LIN, Warren TAN

Serial No. : Unassigned

Filing Date : Herewith

For : METHOD AND SYSTEM FOR CERTIFICATE  
DELIVERY AND MANAGEMENT

Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)**

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of Applicants, Mark KOGEN, Herve GARCIA, Fred PINN, Elton LIN and Warren TAN, whose addresses and citizenships are as follows:

<u>Inventor</u>	<u>Address</u>	<u>Citizenship</u>
Mark Kogen	Lawndale, California	USA
Herve Garcia	Calabasas, California	USA
Fred Pinn	Studio City, California	USA
Elton Lin	Orange, California	USA
Warren Tan	Thousand Oaks, California	USA

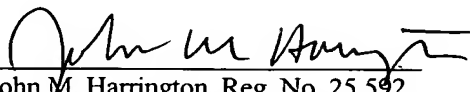
Applicants claim the benefit, under 35 USC § 119, of US Provisional Application Number 60/408,616 filed September 6, 2002.

Please address all correspondence and telephone calls in connection with this application to:

George T. Marcou, Esq.  
Kilpatrick Stockton LLP  
607 14th Street, NW, Suite 900  
Washington, DC 20005  
(202) 508-5800 (phone)  
(202) 508-5858 (facsimile)

Respectfully submitted,

Date: 9/8/03  
KILPATRICK STOCKTON LLP  
Kilpatrick Stockton LLP  
607 14th Street, NW, Suite 900  
Washington, DC 2000

  
John M. Harrington, Reg. No. 25,592  
for George T. Marcou, Reg. No. 33,014

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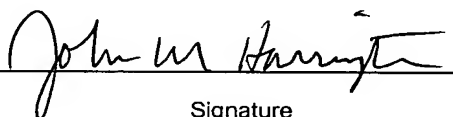
**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Mark Kogen et al.
Title	METHOD AND SYSTEM FOR CERTIFICATE DELIVERY AND MANAGEMENT
Atty Docket Number	T0091/250093

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 8, 2003

Date



Signature

(336) 607-7300

Telephone number

John M. Harrington

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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